

**"Improvement of thermoelectric-properties for Mg-based  
quaternary compounds with doping via bulk mechanical alloying"**

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**Abstract:**

In the magnesium base TE material design, the solid solution formation is favored for reduction of thermal conductivity by lattice scattering effect. Since magnesium and silicon have low relative density, Mg<sub>2</sub>Si-base TE compounds are expected to improve the specific figure-of-merit. In this project, new material processing route is proposed and developed to make material search for ternary or quartic TE compounds and to make mass-production of Mg<sub>2</sub>Si-base TE materials. Effect of Si, Ge and Sn on the thermoelectricity is also discussed to find an optimum way of TE-materials design.

**Introduction:**

Magnesium has binary, ternary and quartic compounds together with silicon, germanium, tin and lead. In particular, ternary compounds like Mg-Si-Ge and Mg-Si-Sn become a starting material system, on the basis of which the alloy design is based to improve the figure-of-merit or the specific figure-of-merit. Mg-Si-Ge system has complete solid-solubility like Ge-Si system 1); thermoelectric properties are designable with variation of germanium concentration 2). Mg-Si-Sn system is reported to have a significant solid-solubility both in the Sn-deficient and Sn-enriched content regions; phase separation to Mg<sub>2</sub>Si + Mg<sub>2</sub>Sn is reported to take place in the middle Sn-content region 3). In the most of literature, samples are prepared by the melting and solidification process; the above solid solution formation and phase separation must be reconsidered by the solid-state synthesis.

The melting and solidification method 4-6) suffers from many difficulties in practice: relatively high melting point of Si and Ge, and, their chemical reaction with constituents of crucibles. Since magnesium has high vaporizing pressure and Si and Ge have high melting point, it is difficult or nearly impossible to accurately control the molar ratio among Mg, Si, Ge and Sn. In addition, Mg-Si-Ge system has deliquesce in the germanium rich regions. In the powder metallurgy, mechanical milling and grinding have been utilized for fabrication of sintered samples 7, 8). Hot pressing and spark plasma sintering were also used at relatively high temperature to yield dense samples 8). During milling, pure magnesium and Si/Ge are easy to be adhesive or reacted with the constituents of vials and balls. Since the refined magnesium powders are inflammable, the processed sample is never free from oxidation. These conventional materials processing is difficult to describe thermoelectricity of solid-solution type semi-conductive materials. In addition, their mass-production in the industrial applications is beyond capacity for these methods.

The bulk mechanical alloying (BMA) is expected to fabricate magnesium-base solid solution materials with practical reliability via the solid-state synthesis. In the present project, this processing route is first applied to make solid state synthesis of ternary alloy compounds as the base of material optimum design toward high specific figure-of-merit and to demonstrate its capability of mass production to yield a massive amount of Mg<sub>2</sub>Si-base TE-leg materials.

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## **Experiment:**

Intense straining to drive the solid-state synthesis is applied to a starting material by the bulk mechanical alloying (BMA). As a starting material, the elemental powders are prepared with sufficiently high purity as shown in Fig. 1. In the following studies, elemental powders with the average size of 10 – 100  $\mu\text{m}$  are used. The present experimental procedure is applicable even when starting from elemental granules or platelets in the order of millimeter. That is, this processing is free form size and morphology of initial matters. Our developed system is shown in Fig. 2. This system is composed of three parts; loading machine, sequence control unit and PC-based controller. Since loading is applied to the sample in the closed die cavity from upper and lower directions, the initial powder mixture is forced to be compressed, extruded elongated and re-combined in a cyclic manner. After homogeneous blending, each elemental powder mixture is subjected to bulk mechanical alloying in a flowing argon atmosphere to prevent the powder compact from oxidation. A core of this BMA is composed of die and special tools in Fig. 2. Different from the conventional mechanical alloying, no vials or balls are used. Since they are made from cemented carbide and hard tool steels, no contamination is expected to occur during BMA even when the initial elemental mixture is subjected to intense straining. The elemental powder mixture is pored into a die cavity to undergo the cyclic loading at room temperature. Since the die set is forced to cool down, temperature rise is kept low less than 40 K. In the present BMA system, the pass schedule with one forward extrusion and two compression modes is normally employed for above cyclic loading. To be noticed, every BMA sample is controlled to have relatively high density although BMA processes; in the following experiments, its relative density is kept constant, 80 % T.D. (true density).

Spark-plasma sintering as well as hot pressing, are available for densification of BMA samples and for net-shaping. In the following experiments, hot pressing system is used for high-pressure densification. The whole pressing die and tools are made from nickel-base superalloy in order that hot pressing operates up to 1273 K. In this hot pressing, pressure and holding temperature must be optimized to attain full density without significant grain growth. In the following experiments, the pressure is applied by 1 GPa at 773 K. Fully dense samples are successfully obtained by this condition.

X-ray diffraction (XRD) analysis with the monochromatic Cu K $\alpha$  radiation is used to describe the solid-state reaction via BMA. Scanning electron microscopy (SEM) with the energy dispersive X-ray spectroscopy (EDX) is utilized to observe the microstructure and to determine actual chemical composition of BMA samples. Transmission electron microscopy (TEM) is also used to detect the embryo of synthesized compound during BMA.

The differential thermal analysis (DTA) is carried out to explore the onset-temperature of solid-state reaction during BMA process with the heating rate of 20 K/min up to 1073 K in an argon atmosphere when using Shimadzu DTG-60.

The samples for thermoelectric measurement are cut out from hot-pressed pellets. A rectangular bar with the size of 2 x 2 x 8 mm<sup>3</sup> is fabricated for measurement of the Seebeck coefficient and the electrical conductivity. A circular disc with the diameter of 10 mm and the thickness of 2 mm is used for measurement of thermal conductivity. The thermoelectric properties are evaluated from the room temperature up to 700 K. The Seebeck coefficient ( $\alpha$ ) and the electrical conductivity ( $\sigma$ ) are simultaneously measured by the four-probe dc method in helium atmosphere, using the computer-controlled equipment. The thermal conductivity ( $\kappa$ ) and the specific heat capacity ( $C_p$ ) are measured by the laser flash methods, using a thermal constant analyzer (ULVAC TC-7000) in vacuum. The thermal conductivity is calculated from the measured thermal diffusivity, specific heat capacity and bulk density ( $d$ ):  $k = DC_p d$ . The bulk density of the hot-pressed samples is measured by the Archimedes method.

**Results and Discussion:** Describe the results obtained during the period of performance and what work may be performed in the future as follow on.

**[1] Demonstration of solid-state synthesis of single-phase, Mg<sub>2</sub>Si via solid-state synthesis**

Bulk mechanical alloying was used to make solid-state synthesis of Mg<sub>2</sub>Si from the blended powder mixture of Si and Mg. XRD and DTA were used to describe this synthesis process. XRD profile was measured at the specified number of cycles (N) in BMA in order to investigate the solid-state reaction during BMA. Figure 3 depicts the change of XRD profiles for the BMA samples with increasing the number of cycles. When N = 0 or in as-blended samples, triplet peaks for magnesium and characteristic peaks to silicon are detected. These peak intensities reduce with increasing N. In the case of Mg-Si system, gradual reduction of peak intensities is observed for N < 500 and is followed by abrupt change of XRD profile between N = 500 and N = 600. The single phase of Mg<sub>2</sub>Si is obtained when N = 600. This solid-state reaction behavior is also described by DTA. Since the formation enthalpy for Mg<sub>2</sub>Si is positive, the typical exothermic peaks are detected near the endothermic peak for melting of magnesium when N = 0 in Fig. 4. This exothermic peak intensity reduces gradually with N while the peak temperature shifts itself to the lower temperature side. When N = 600, no peaks are detected both in heating and cooling processes in DTA. This assures that the whole of magnesium is reacted with silicon to form a single phase of Mg<sub>2</sub>Si. From the exothermic peak in DTA diagram, the formation enthalpy of reaction ( $\Delta H_f$ ) is estimated for each BMA sample. When t = 0, the measured formation enthalpy of Mg<sub>2</sub>Si from DTA diagram is  $\Delta H_f^0 = 68$  kJ/mol while  $\Delta H_f = 77.3$  kJ/mol in literature. This difference comes from the fact that the exothermic peak intensity measured from DTA diagram is underestimated by overlapping of endothermic peak on it.

Mg<sub>2</sub>Si is extraordinarily high among Mg<sub>2</sub>X like  $|\alpha| = -700$  to  $-800 \mu\text{VK}^{-1}$ . Due to intrinsic semi-conductivity, Mg<sub>2</sub>Si has much lower electrical conductivity around the room temperature. Mg<sub>2</sub>Sn has much higher electrical conductivity. The bandgap for Mg<sub>2</sub>Si becomes Eg = 0.71 eV. This value is in good agreement with the reported data in literature. This assures that this type of binary semi-conductive and metallic compounds, Mg<sub>2</sub>Si, should be yielded with accurate chemical composition. The effect of refinement via BMA on the thermoelectricity is observed in the thermal conductivity data. The thermal conductivity ( $\kappa_{RT}$ ) at room temperature for a single-crystalline Mg<sub>2</sub>Si is 7.8 Wm<sup>-1</sup>K<sup>-1</sup>;  $\kappa_{RT}$  is 4.8 Wm<sup>-1</sup>K<sup>-1</sup> or less than for BMA samples. This low thermal conductivity is much favored for this Mg base thermoelectric material design.

## [2] Solid solution formation of Mg-Si-Ge compound via solid-state synthesis

The initial elemental powder mixture is blended with the specified molar ratio and prepared for BMA; in this experiment, the initial molar ratio is selected to be equivalent to Mg<sub>2</sub>Si<sub>0.6</sub>Ge<sub>0.4</sub>. In order to investigate the solid-state reaction during BMA, variation of XRD profiles was measured at the specified number of cycles (N) in BMA. Figure 5 depicts the change of XRD patterns for BMA samples with Mg 66.6 at%, Si 20.0 at% and Ge 13.4 at% at N=0, 100, 200, 300, 400, 500 and 600. Peaks for Mg (hcp-structured with  $a = 0.3209$  nm and  $c = 0.5211$  nm), Si (diamond-structured with  $a = 0.5431$  nm) and Ge (diamond-structured with  $a = 0.5658$  nm) are detected in the blended sample at N = 0. Peak intensities of these elements reduce with increasing the number of cycles for N < 300. The peaks for cubic-structured Mg<sub>2</sub>Si<sub>0.6</sub>Ge<sub>0.4</sub> solid solution phase are detected for N > 300. When N=500, most of peaks for Mg, Si and Ge diminish in the trace level; the single phase of Mg<sub>2</sub>Si<sub>0.6</sub>Ge<sub>0.4</sub> is synthesized when N=600.

DTA is also employed to describe the solid-state reaction behavior. Figure 6 depicts the variation of DTA profiles with N. When N = 0, or, for the as-blended powder mixture, an exothermic peak as well as two endothermic peaks are distinctly detected. This exothermic peak corresponds to the formation of Mg<sub>2</sub>Si and Mg<sub>2</sub>Ge from the elemental powder mixture. Two endothermic peaks respectively correspond to the melting of magnesium and germanium. Detection of the first endothermic peaks for N < 300, reveals that unreacted magnesium is still left in the sample. The onset temperature to ignite the reaction to Mg<sub>2</sub>Si and Mg<sub>2</sub>Ge is shifted to the lower temperature side with increasing the number of cycles. When N = 500, no exothermic nor endothermic peaks are seen in DTA. No difference is recognized in DTA diagram between two samples at N = 500 and N = 600. This also assures that the initial, elemental powder mixture is fully reacted in solid to Mg<sub>2</sub>Si<sub>0.6</sub>Ge<sub>0.4</sub>. The BME sample at N = 600 has compositions of Mg 66.4 at%, Si 20.4 at% and Ge 13.2 at% after EDX analysis. This assures that the solid state synthesis from elemental powder mixture yields any solid solution type compounds with accurate chemical composition.

Variation of the Seebeck coefficient ( $\alpha$ ) with temperature is depicted in Fig. 7 for  $Mg_2Si_{1-x}Ge_x$  samples, hot-pressed at 773K by 1GPa. Its absolute value ( $|\alpha|$ ) decreases with temperature irrespective of the germanium content (x) since the number of carriers increases by thermal activation. The highest Seebeck coefficient is attained at room temperature for  $Mg_2Si$  and  $Mg_2Si_{0.6}Ge_{0.4}$ : e.g.,  $|\alpha| = 800 \mu V/K$ . Here to be interested is a p-n transition between  $x = 0.2$  and  $x = 0.4$ . When  $x = 0.2$ ,  $\alpha$  is negative, and, both  $Mg_2Si_{0.8}Ge_{0.2}$  as well as  $Mg_2Si$  are n-type.  $\alpha$  turns to be positive for  $x > 0.4$  and  $Mg_2Si_{1-x}Ge_x$  becomes p-type.

### [3] Optimum alloy design for $Mg_2Si$ -based TE-leg materials

$Mg_2Si$ -base system like  $Mg$ - $Si$ - $Ge$ - $Sn$  is attractive since its TE-properties are controllable by tuning the contents of silicon, germanium and tin even without doping. As shown in Fig. 7, both p- and n-leg materials are prepared in  $Mg$ - $Si$ - $Ge$  solid solution owing to the p-n transition; i.e.  $Mg_2Si_{1-x}Ge_x$  plays as an n-type leg for  $x < 0.3$  and as a p-type leg for  $x > 0.4$ . This system has high Seebeck coefficient but low electrical conductivity. Then, the forth element had better be added to this system like tin. Figure 8 depicts the increase of electrical conductivity with increasing the holding temperature for  $Mg_2Si_{1-y}Sn_y$  and  $0 < y < 1$ . Improvement of electrical conductivity for  $Mg_2Si_{1-x-y}Ge_xSn_y$  is attained by small addition of  $Mg_2Sn$  with  $y < 0.1$ . In fact, p-type  $Mg_2Si_{1-x-y}Ge_xSn_y$  with  $x = 0.5$  and  $y = 0.1$  is synthesized to have  $S = 500 \mu V/K$ ,  $s = 4 \times 10^4 W^{-1}m^{-1}$  and  $\kappa = 3 Wm^{-1}K^{-1}$  at 400 K. This provides higher figure-of-merit:  $Z = 3.4 \times 10^{-3} K^{-1}$  and  $ZT = 1.3$ . Further improvement of Z and ZT could be attained with optimum doping to this system.

### [4] New processing route to mass-production of TE-leg materials

In the mass production of TE-leg materials, energy efficiency in fabrication must be also improved as possible to reduce the turn-back time for TE-modules. In the present solid-state synthesis, reduction of loading cycles directly results in reduction of energy. Figure 9 shows the variation of XRD and DTA profiles with N for  $N < 200$ . No peaks of synthesized  $Mg_2Si$  were seen in XRD profile at  $N = 150$  while significant reduction of ignition temperature for solid state reaction to  $Mg_2Si$  is noticed. Fig. 10 compares the microstructure of initial powder mixture at  $N = 0$  and BMAed sample at  $N = 150$ . Fine mixture state of magnesium and silicon might play a role to enhance the solid-state reaction to  $Mg_2Si$  as shown in Fig. 9. This suggests that  $Mg_2Si$  could be directly synthesized by low-temperature heat treatment of BMAed sample.

Fig. 11 compares the variation of XRD profiles at different temperatures in heat treatment between initial powder mixture and BMAed sample by  $N = 150$ . Heat treatment at  $T = 623$  K is enough to ignite full reaction to  $Mg_2Si$  from BMAed sample by  $N = 150$ . This measurement is demonstrated by microstructure observation in Fig. 12. In case of initial powder mixture, silicon residuals are still left in the system even after heat treatment for 1 hr at  $T = 1003$  K above the melting point of magnesium. Full reaction was attained in the heat treatment of BMAed sample at  $T = 623$  K. Thermo-electric properties of this heat-treated sample were measured. Figure 13 shows the variation of electrical conductivity with increasing the holding temperature. Measured bandgap was found to be in fairly good agreement with the reference data in the literature. This proves that this sample should be intrinsic semi-conductive  $Mg_2Si$ . That is, the above process is responsible for mass production of  $Mg_2Si$ -based TE-leg materials.

The above enhancement of solid-state reactivity is attributed to nucleation of  $Mg_2Si$ -embryo during the bulk mechanical alloying. Figure 14 shows a typical TEM micrograph of BMAed sample at  $N = 150$ .  $Mg_2Si$ -embryo is synthesized at the interface between magnesium and silicon phases in the BMAed sample. Solid-state reactivity is thought to be in close relationship with this formation of  $Mg_2Si$ -embryo. Mock-up experiment was performed to discuss the possibility of mass production by using the above process. Figure 15 proves that BMEed sample with the weight of 300 to 500g is directly yielded by bulk mechanical alloying.

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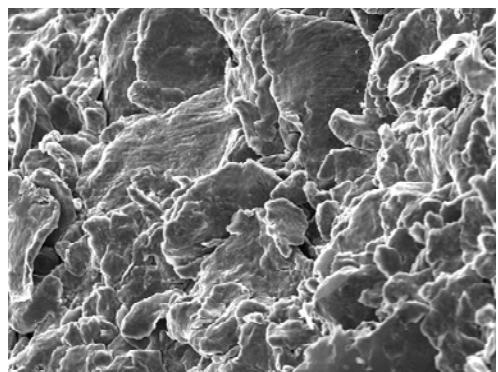
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**List of Publications:** Please list any publications, conference presentations, or patents that resulted from this work.

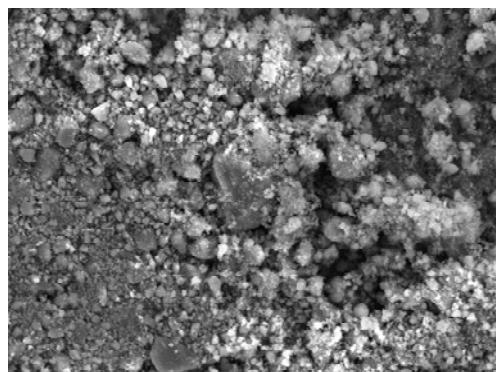
T. Aizawa: Solid-State Synthesis of Magnesium-Based Functional Alloys and Compounds. Trans Tech Publications (2009). ISSN 1422-3597.

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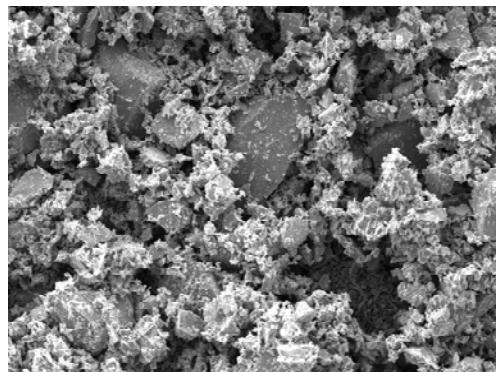
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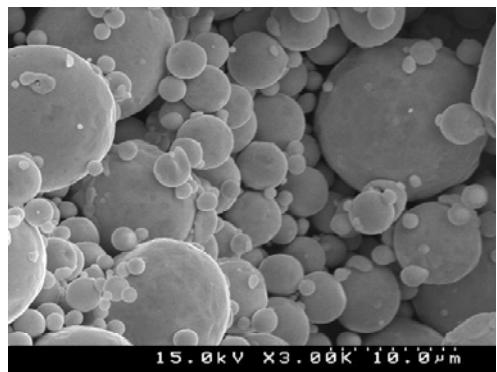
**Mg**  
**Mg (99.9%, 100 $\mu$  m)**



**Si**  
**Si (99.99%, 20 $\mu$  m)**



**Ge**  
**Ge (99.999%, 100 $\mu$  m)**



**Sn**  
**Sn (99.9%, 10 $\mu$  m)**

Fig. 1: Starting element powders: Mg, Si, Ge and Sn.

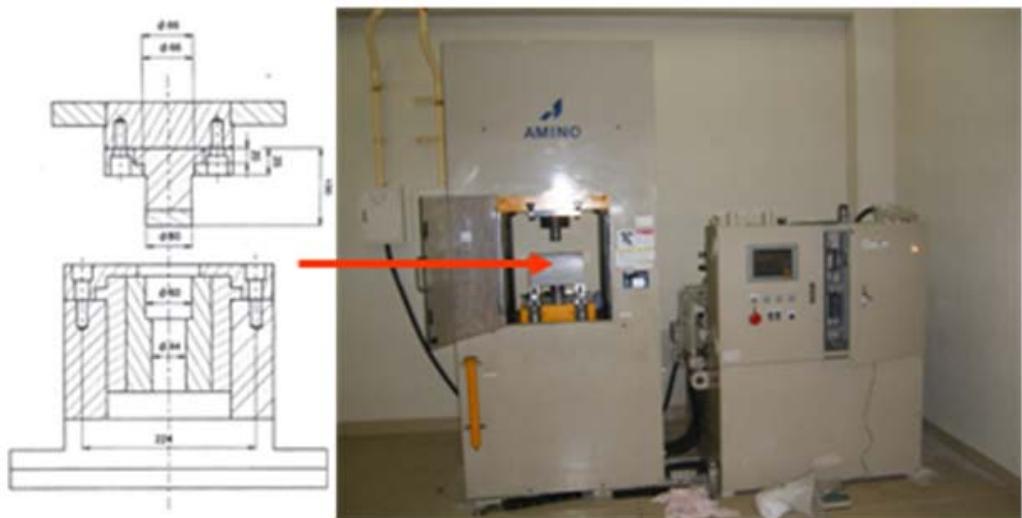


Fig. 2: Newly developed bulk mechanical alloying system and die-set for mass-production of Mg<sub>2</sub>Si-based TE compounds.

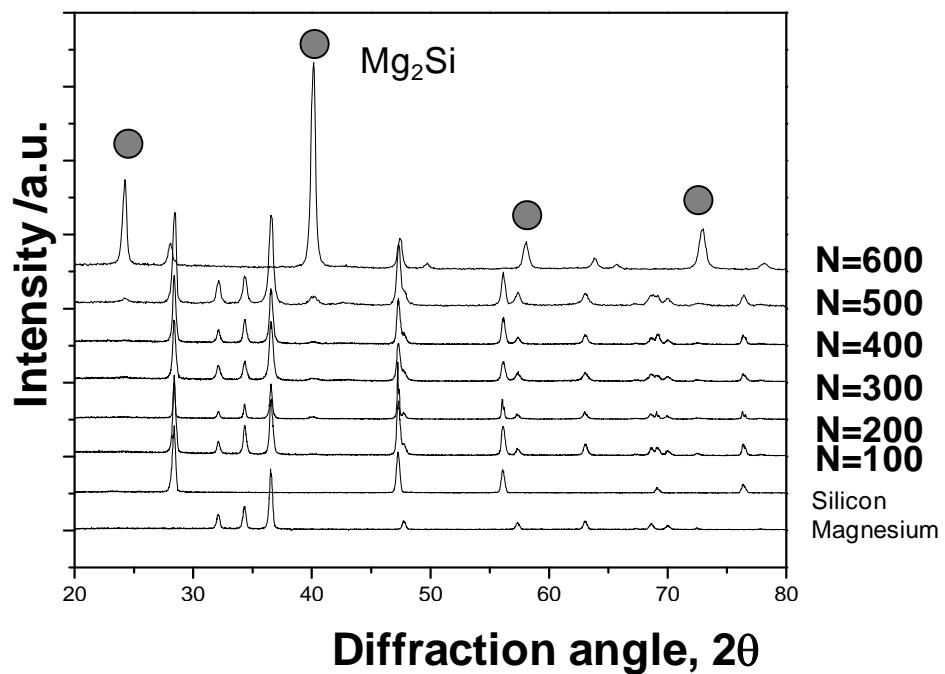


Fig. 3: Variation of XRD profiles with increasing the number of loading cycles (N) in the solid-state synthesis via the developed bulk mechanical alloying system.

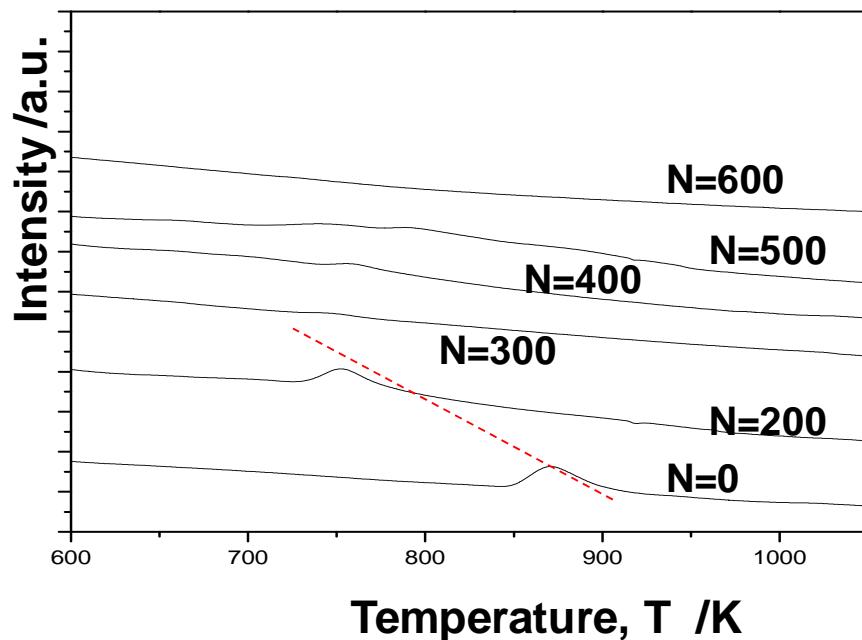


Fig. 4: Variation of DTA profiles with increasing the number of loading cycles (N) in the solid-state synthesis via the developed bulk mechanical alloying system.

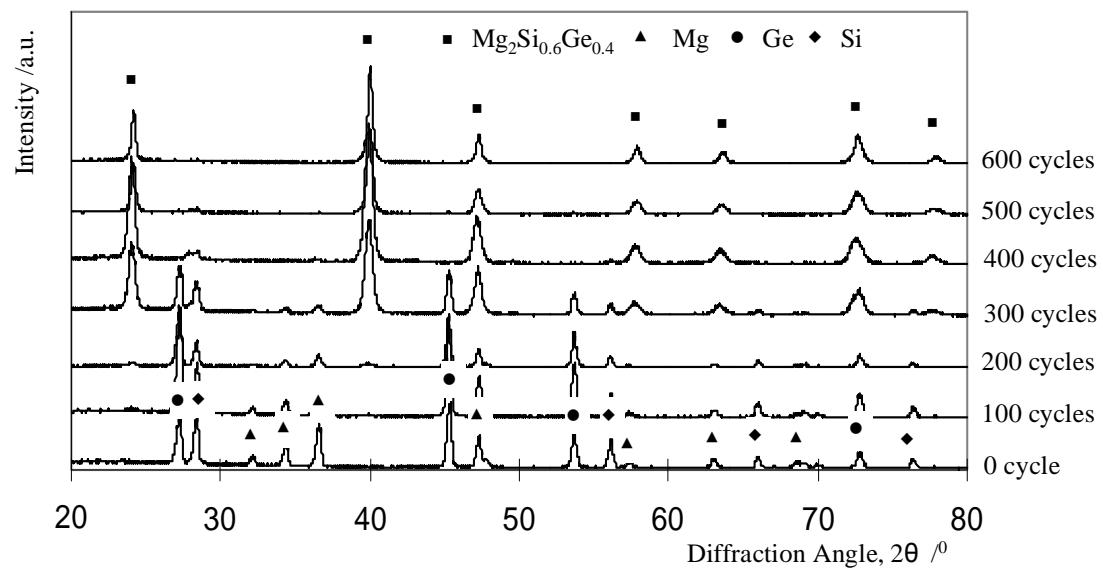


Fig. 5: Variation of XRD profiles with increasing the number of loading cycles (N) starting from the elemental powder mixture of Mg, Si and Ge with the initial molar ratio of  $\text{Mg}_2\text{Si}_{0.6}\text{Ge}_{0.4}$ .

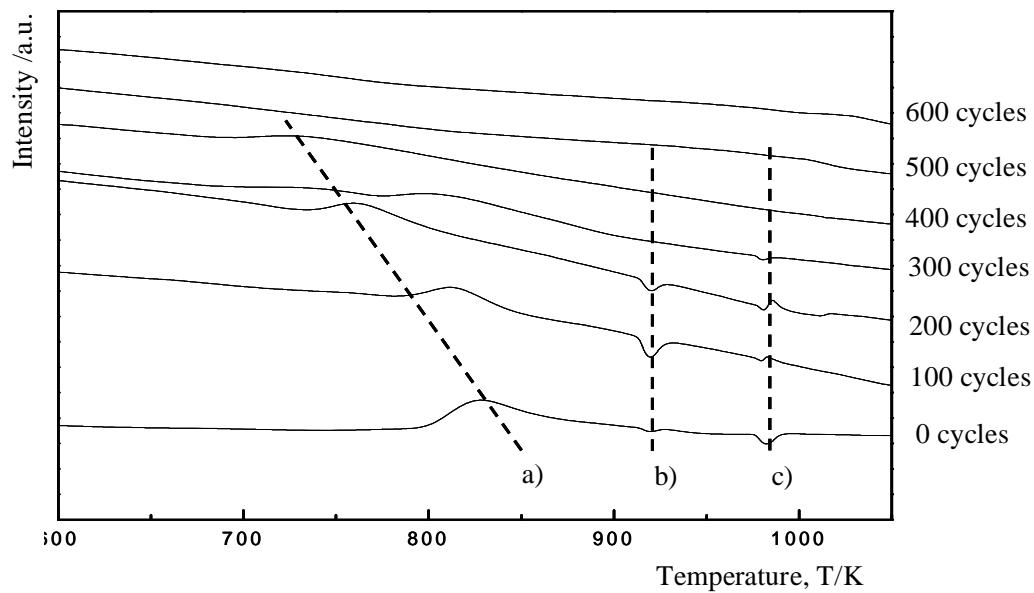


Fig. 6: Variation of DTA profiles with increasing the number of loading cycles (N) starting from the elemental powder mixture of Mg, Si and Ge with the initial molar ratio of  $\text{Mg}_2\text{Si}_{0.6}\text{Ge}_{0.4}$ .

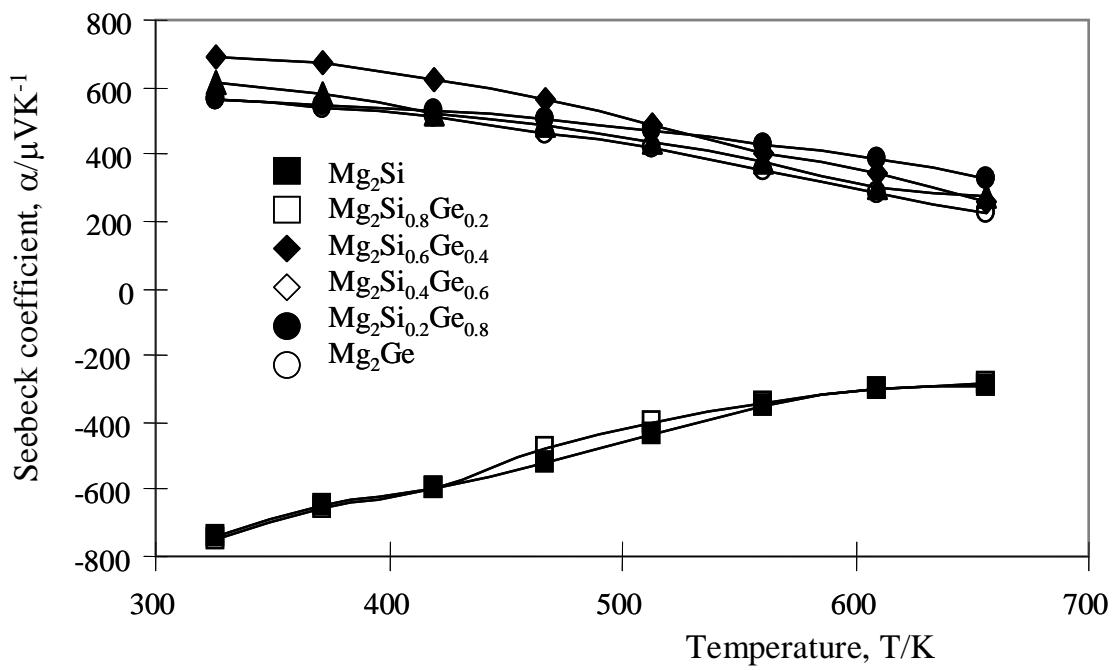


Fig. 7: Variation of Seebeck coefficient with increasing the holding temperature for  $\text{Mg}_2\text{Si}_{1-x}\text{Ge}_x$  and  $0 < x < 1$ .

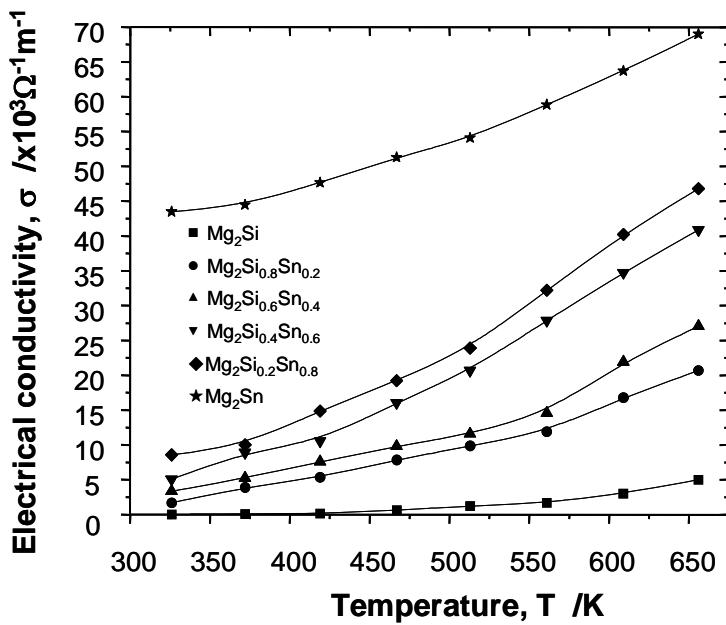


Fig. 8: Variation of electrical conductivity with increasing the holding temperature for  $Mg_2Si_{1-y}Sn_y$  and  $0 < y < 1$ .

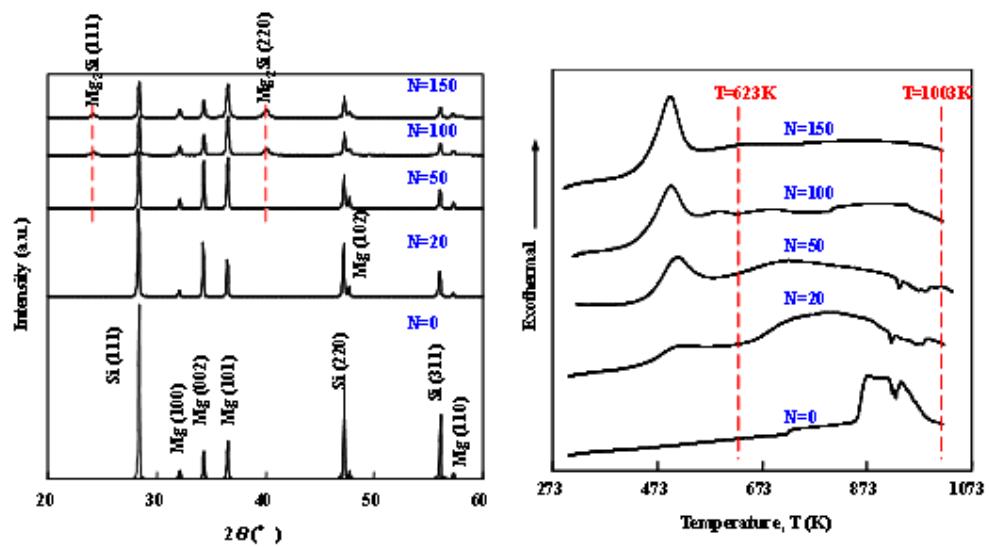


Fig. 9: Variation of XRD and DTA profiles with increasing the number of cyclic loading in the present bulk mechanical alloying.

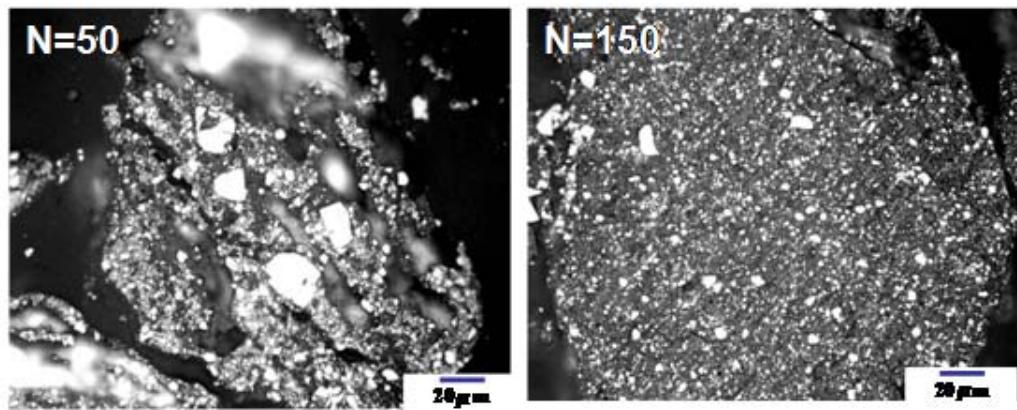
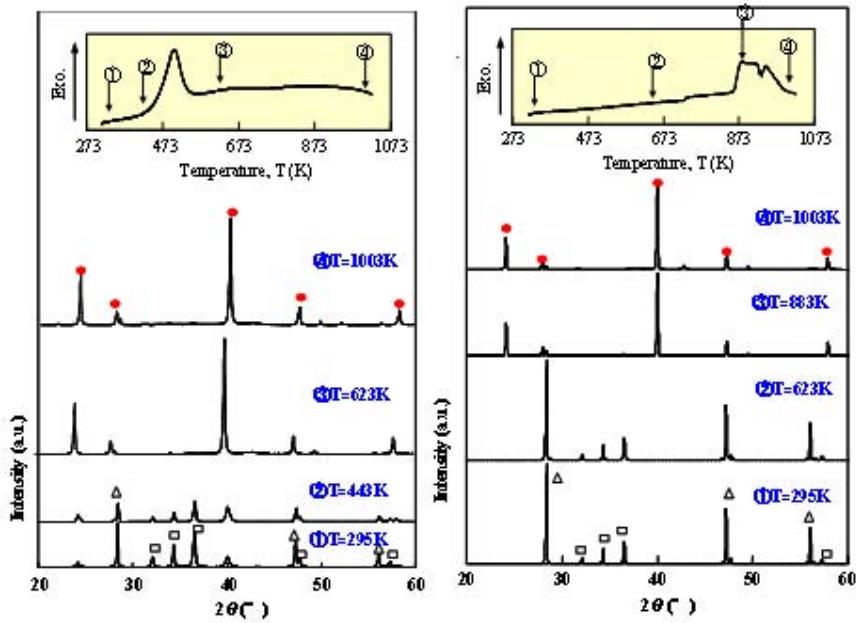


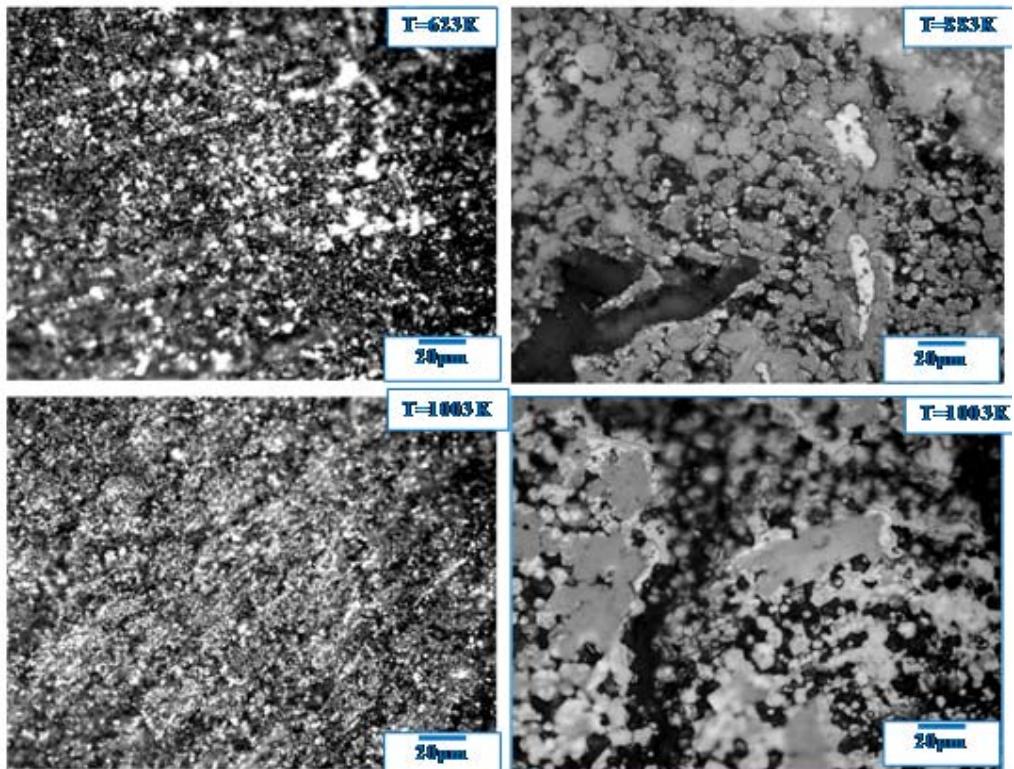
Fig. 10: Comparison of microstructure between BMAed samples at  $N = 50$  and  $N = 150$ .



**Starting from sample at N = 150**

**Starting from sample at N = 0**

Fig. 11: Comparison of XRD profiles after heat treatment at the specified temperature (T) between the initial powder mixture at N = 0 and BMAed sample at N = 150.



**Starting from the sample at  $N = 150$**     **Starting from the sample at  $N = 0$**

Fig. 12: Comparison of microstructure after heat treatment at the specified temperature (T) between the initial powder mixture at  $N = 0$  and BMAd sample at  $N = 150$ .

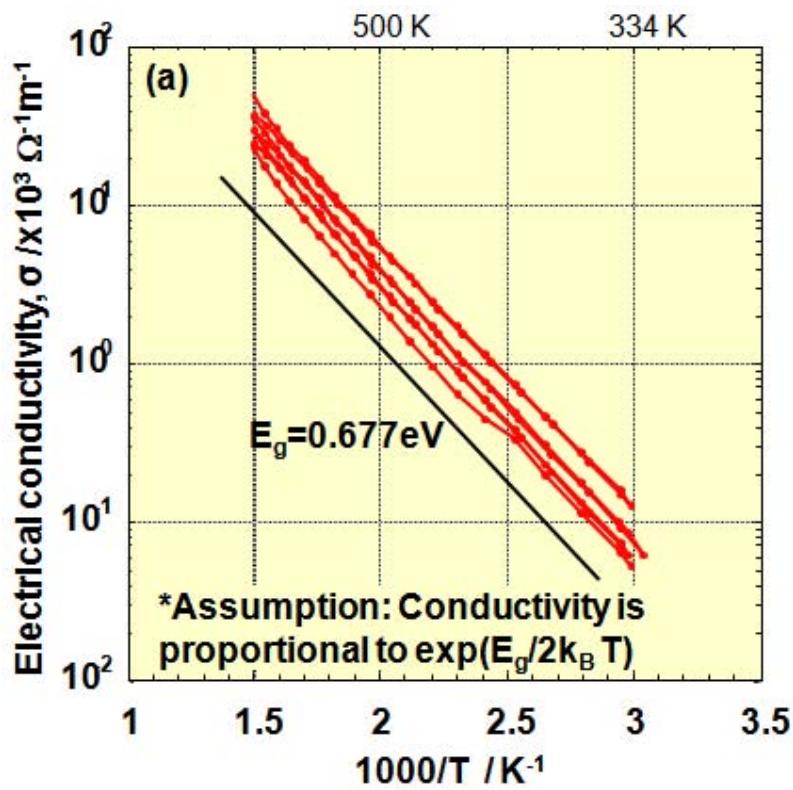


Fig. 13: Relationship of electrical conductivity to reciprocal temperature for BMAsed sample after heat treatment.

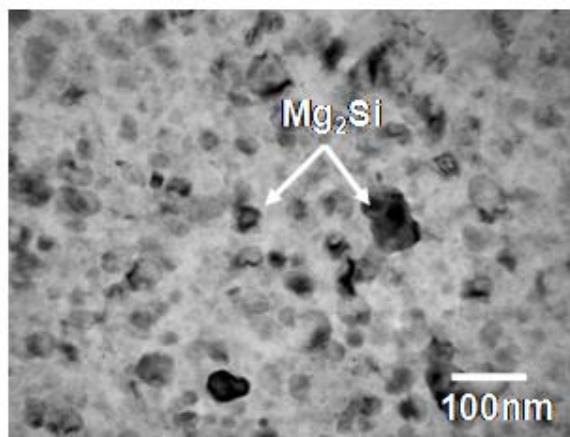


Fig. 14: TEM micrograph of  $\text{Mg}_2\text{Si}$  embryo in the BMAed sample at  $N = 150$ .

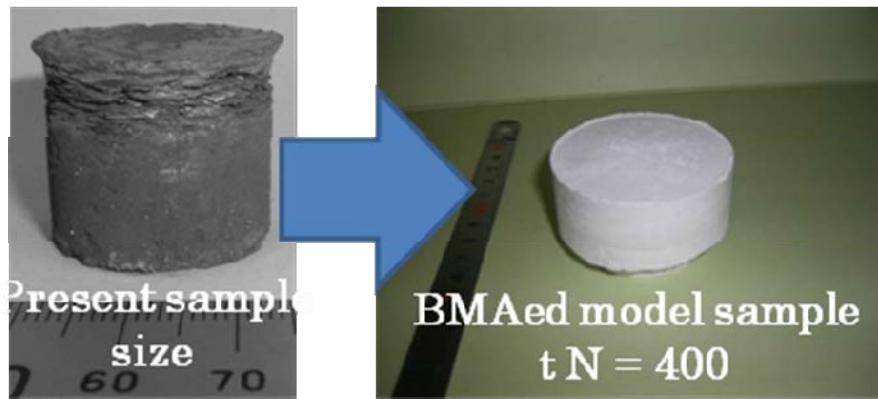


Fig. 15: Demonstration of scaling-up for BMA-originating materials process for mass-production of TE-leg materials.